

EXHIBIT B

Mr. Richard Roth
107 Village Clubhouse Circle
Jupiter, Florida 33458
January 14, 2009

Phone: 631 379-7290

Irving Picard, Esq.

Trustee for Bernard L. Madoff Investment Securities LLC

Claims Processing Center

2100 McKinney Ave. Suite 800

Dallas, TX 75201

Re: Richard Roth

Account # 1R0060

Dear Sir:

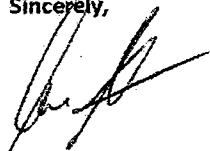
I am basing my claim on the enclosed statements from the last year as of 11/30/08. The amount of \$416037.82 is on my last statement.

I am hoping to receive the full amount of protection under SIPA.

I am retired and have been totally wiped out of my life savings and I am desperate to receive the compensation as soon as possible so I can avert bankruptcy.

Thank you for any help you can give me.

Sincerely,

A handwritten signature in black ink, appearing to be 'Richard Roth', written over a horizontal line.

Richard Roth

REDACTED

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: _____

HOME: 631 379-7290

Taxpayer I.D. Number (Social Security No.)

8252



Account Number: 1R0060

RICHARD ROTH
107 VILLAGE CLUBHOUSE CIRCLE
JUPITER, FL 33458

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008**:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0

- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, it must be enclosed
with this claim form. \$ 0

- d. If balance is zero, insert "None." None

2. Claim for securities as of December 11, 2008:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities | <u>yes</u> | |
| b. I owe the Broker securities | | <u>no</u> |
| c. If yes to either, please list below: | | |

See enclosed Statements
Dated 11/30/08

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
	<u>Please See Enclosed Statements</u>		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain. | _____ | <u>no</u> |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker? | _____ | <u>NO</u> |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____ | <u>NO</u> |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s) | _____ | <u>NO</u> |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming. | _____ | <u>NO</u> |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers. | _____ | <u>NO</u> |

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. _____ NO

Please list the full name and address of anyone assisting you in the preparation of this claim form: _____

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 1/14/09 Signature 

Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

885 Third Avenue
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(212) 230-2424
800 334-1343
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RICHARD ROTH

107 VILLAGE CLUBHOUSE CIRCLE
JUPITER FL 33458

Affiliated with
Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

PENDING
11/30/08

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YOUR ACCOUNT NUMBER
1-R0050-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
*****9252

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	336			BALANCE FORWARD		25,262.52	
11/12	240		173	WELLS FARGO & CO NEW	29.800	10,025.80	
11/12	208		677	HEWLETT PACKARD CO	34.900	8,385.00	
11/12	136		4501	WAL-MART STORES INC	55.830	11,620.64	
11/12	504		5003	INTERNATIONAL BUSINESS MACHS	87.270	11,873.72	
11/12	552		8827	EXXON MOBIL CORP	72.880	36,751.52	
11/12	264		9329	INTEL CORP	14.510	9,031.52	
11/12	360		13655	JOHNSON & JOHNSON	59.580	15,739.12	
11/12	192		17980	J.P. MORGAN CHASE & CO	38.530	13,884.80	
11/12	112		22306	COCA COLA CO	44.660	8,581.72	
11/12	208		26632	MCDONALDS CORP	55.370	6,205.44	
11/12	760		30958	MERCK & CO	28.550	5,946.40	
11/12	384		35284	MICROSOFT CORP	21.810	16,605.60	
11/12	152		39610	ORACLE CORPORATION	17.300	6,558.20	
11/12	88		52588	PEPSICO INC	56.610	8,580.32	
11/12	648		53090	APPLE INC	100.780	8,871.64	
11/12	152		56914	PEIZER INC	16.940	11,002.12	
11/12	288		57418	ABBOTT LABORATORIES	54.610	8,306.72	
11/12	104		61240	PROCTER & GAMBLE CO	64.080	18,466.04	
11/12	200		61742	AMGEN INC	59.160	6,156.64	
11/12	480		65566	PHILLIP MORRIS INTERNATIONAL	43.600	8,728.00	
11/12	160		65068	BANK OF AMERICA	21.590	10,382.20	
11/12	520		69892	QUALCOMM INC	32.770	5,409.20	
11/12			70394	CITI GROUP INC	12.510	5,525.20	
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



BERNARD L. MADOFF
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New York ☐ London

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PERIOD ENDING
11/30/08

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YOUR ACCOUNT NUMBER
1-R0050-3-0

YOUR TAXPAYER IDENTIFICATION NUMBER
*******8252**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		45,016	52721	FIDELITY SPARTAN	1		45,016.00
11/19	50,000		57262	U S TREASURY MONEY MARKET	99.926	49,953.00	
11/19	19,026		61759	U S TREASURY BILL DUE 03/26/2009 3/26/2009	1	19,026.00	
				FIDELITY SPARTAN			
				U S TREASURY MONEY MARKET			
				NEW BALANCE		47,614.23	
				SECURITY POSITIONS	MKT PRICE		
	568			AT&T INC	28.560		
	152			ABBOTT LABORATORIES	52.390		
	104			AMGEN INC	55.540		
	88			APPLE INC	92.670		
	480			BANK OF AMERICA	16.250		
	200			CHEVRON CORP	79.010		
	584			CISCO SYSTEMS INC	16.540		
	520			CITI GROUP INC	8.290		
	192			COCA COLA CO	46.870		
	288			COMCAST CORP	17.340		
				CL A			
	144			CONOCOPHILIPS	52.520		
	504			EXXON MOBIL CORP	80.150		
	1,016			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

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PERIOD ENDING
11/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER
*****8252

YOUR ACCOUNT NUMBER
1-R0060-3-0

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	24			GOOGLE	292.960		
	240			HEWLETT PACKARD CO	35.280		
	552			INTEL CORP	17.800		
	136			INTERNATIONAL BUSINESS MACHS	81.600		
	360			J.P. MORGAN CHASE & CO	31.660		
	264			JOHNSON & JOHNSON	58.580		
	112			MCDONALDS CORP	58.750		
	208			MERCK & CO	26.720		
	760			MICROSOFT CORP	20.220		
	384			ORACLE CORPORATION	16.090		
	152			PEPSICO INC	56.700		
	648			PFIZER INC	16.430		
	200			PHILLIP MORRIS INTERNATIONAL	42.160		
	288			PROCTER & GAMBLE CO	54.350		
	160			QUALCOMM INC	33.570		
	120			SEHLBERGER LTD	50.740		
	19,026			FIDELITY SPARTAN	1		
				U S TREASURY MONEY MARKET			
	168			U S BANCORP	26.980		
	96			UNITED PARCEL SVC INC	57.600		
				CLASS B			
	50,000			U S TREASURY BILL	99.971		
				DUE 03/26/2009			
				3/26/2009			
	96			UNITED TECHNOLOGIES CORP	48.530		
				CONTINUED ON PAGE 5			

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PERIOD ENDING 11/30/08	PAGE 5
YOUR ACCOUNT NUMBER 1-R0060-3-0	YOUR TAX PAYER IDENTIFICATION NUMBER *****8252

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	272			VERIZON COMMUNICATIONS	32.650		
	208			WAL-MART STORES INC	55.880		
	336			WELLS FARGO & CO NEW	28.890		
				MARKET VALUE OF SECURITIES			
				LONG	421,477.82		
				SHORT			

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YOUR ACCOUNT NUMBER 1-R0060-4-0	PERIOD ENDING 11/30/08	PAGE 1
YOUR TAX IDENTIFICATION NUMBER *****8252		

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			25,263.00
11/12				S & P 100 INDEX NOVEMBER 460 CALL	15.800		12,632.00
11/12	8		48262	S & P 100 INDEX NOVEMBER 450 PUT	17.800	14,248.00	
11/19				S & P 100 INDEX DECEMBER 430 CALL	26		20,792.00
11/19	8		38691	S & P 100 INDEX DECEMBER 420 PUT	30	24,008.00	
11/19				S & P 100 INDEX NOVEMBER 460 CALL	3	2,408.00	
11/19	8		43016	S & P 100 INDEX NOVEMBER 450 PUT	37		29,592.00
11/19				NEW BALANCE			47,615.00
				SECURITY POSITIONS			
				S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
	8			S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			13,200.00
				SHORT			18,640.00

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